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DATE October 11, 2006
NAME Commissioner for Patents
COMPANY USPTO
FAX NUMBER (571) 273-8300

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ATLANTA, GEORGIA 30309-1915
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FROM Christopher L. Curfman
REFERENCE NO. 21105.0009U2
OUR FAX NUMBER 678-420-9301
NUMBER OF PAGES 10

Please see attached:

1. Request for Correction of Filing Receipt and Change of Correspondence Address (1 pp);
2. Copy of Declaration and Power of Attorney (4 pp);
3. Corrected Copy of Official Filing Receipt (3 pp); and
4. Certificate of Facsimile Transmission dated October 10, 2006.

CONFIDENTIALITY NOTE

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**ATTORNEY DOCKET NO. 21105.0009U2
PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

AWASHTI, *et al.*

Application No.: 10/525,892

Filing Date: May 16, 2005

For: NEUTRAL LIPOSOME-
ENCAPSULATED COMPOUNDS
AND METHODS OF MAKING
AND USING THEREOF

Art Unit: 1615

Examiner: Unassigned

Confirmation No.: 8484

REQUEST FOR CORRECTION OF FILING**RECEIPT AND CHANGE OF CORRESPONDENCE ADDRESS**Commissioner for Patents,
P.O. Box 1450
Alexandria, VA 22313-1450NEEDLE & ROSENBERG, P.C.
Customer Number 23859

Dear Sir:

In response to the Filing Receipt issued on September 27, 2006, in the above-identified application, we hereby request that a Corrected Filing Receipt be issued to correct an error in the Customer Number associated with the Power of Attorney. The correct Customer Number associated with the Power of Attorney filed on May 12, 2005, is 23859. A copy of the Declaration and Power of Attorney is enclosed and it shows the Power of Attorney associated with Customer Number 23859. A copy of the Filing Receipt indicating the correction is also enclosed herewith. Accordingly, the PTO is requested to issue a Corrected Filing Receipt

Please also change the Customer Number for all correspondence associated with this patent application from 36339 to --Customer Number 23859--.

**ATTORNEY DOCKET NO. 21105.0009U2
APPLICATION NO. 10/525,892**

No fee is believed to be due. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.14-0629.

Respectfully submitted,
NEEDLE & ROSENBERG, P.C.

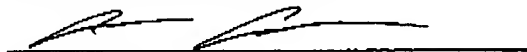


Christopher L. Curfman
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CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence, including any items indicated as attached or included, is being transmitted via facsimile transmission to the U.S. Patent and Trademark Office, Central Delivery at (571) 273-8300, on the date indicated below.


Christopher L. Curfman

OCTOBER 11, 2006
Date

OCT. 11. 2006 11:08AM

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NO. 8140 Pa. 8 of 3

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Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/525,892	05/16/2005	1615	840	21105.0009U2	13	37	2

CONFIRMATION NO. 8484

~~36339~~ 23859NATIONAL INSTITUTE OF HEALTH
C/O NEEDLE & ROSENBERG, P.C.
SUITE 1000
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ATLANTA, GA 30303

MAK/CLC/GER
Needle & Rosenberg
By KLA DOCKETED Date 10/2/06
Reviewed gr 10-4-06
Scanned Name/Date

FILING RECEIPT

 OC000000020564536

Date Mailed: 09/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vibhudutta Awasthi, San Antonio, TX;
William T. Phillips, San Antonio, TX;
Beth A. Goins, San Antonio, TX;

Power of Attorney: The patent practitioners associated with Customer Number ~~36339~~

23859

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/27880 08/27/2004
which claims benefit of 60/499,850 09/02/2003

Foreign Applications

If Required, Foreign Filing License Granted: 09/23/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/525,892**

Projected Publication Date: 01/04/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Neutral liposome-encapsulated compounds and methods of making and using thereof

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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NOT GRANTED

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ATTORNEY DOCKET NO. 21105.0009U2

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**☒ Original☐ Supplemental☐ Substitute☐ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "**NEUTRAL LIPOSOME-ENCAPSULATED COMPOUNDS AND METHODS OF MAKING AND USING THEREOF**", which is described and claimed in the specification

(check one) ☐ which is attached hereto, or
☐ which was filed on , as Application Serial No. and with amendments through
(if applicable), or
☒ in International Application No. PCT/US04/27880, filed August 27, 2004.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, ' 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) or §365(b) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATIONS: (ENTER BELOW IF APPLICABLE)			PRIORITY CLAIMED (MARK APPROPRIATE BOX BELOW)	
APP. NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	YES	NO

ATTORNEY DOCKET NO. 21105.0009U2

I hereby appoint the attorneys and/or agent(s) associated with the following customer number to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: I hereby claim the benefit under Title 35, United States Code, ' 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE
60/499,850	September 2, 2003

I hereby appoint the attorneys/agents associated with the following customer number to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:


Customer No. 23859

Address all telephone calls to Christopher L. Curfman at telephone no. (678) 420-9346.

Address all correspondence to:

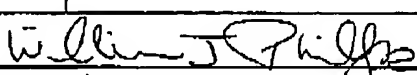
Customer No. 36339

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:	Vibhudutta Awasthi		
Inventor Signature:		Date:	April 20, 2005
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Post Office Address:			
Citizenship:	India		

ATTORNEY DOCKET NO. 21105.0009P1

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Full name of second inventor:	William T. Phillips		
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ATTORNEY DOCKET NO. 21105.0009P1

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Full name of third inventor:	Beth A. Goins		
Inventor Signature:	<i>Beth A. Goins</i>	Date:	4-20-05
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